



# INSTITUTIONAL LIST OF AUTHORIZED TRADERS

\*Exempt accounts only

StoneX Financial Inc. requires your organization to provide written authorization for those allowed to purchase or sell securities on behalf of your organization. We will deem this/these authorization(s) to be in effect until we receive updated authorizations, or until you revoke such authorizations in writing.

ACCOUNT INFORMATION	
Provide information about the account.	Company Name
AUTHORIZED TRADERS	
Authorized Trader #1	Name
	Title
	Restrictions
Authorized Trader #2	Name
	Title
	Restrictions
Authorized Trader #3	Name
	Title
	Restrictions
Authorized Trader #4	Name
	Title
	Restrictions
Authorized Trader #5	Name
	Title
	Restrictions
Authorized Trader #6	Name
	Title
	Restrictions
CERTIFICATIONS	
This form may only be executed by an officer of your organization authorized to grant such trading authority.	
Authorized Individual Signature	Title
Authorized Individual Name	Date

\*For purposes of this form, "exempt accounts" are those not subject to documentary verification under the USA Patriot Act & include:

- A financial institution regulated by a federal functional or bank regulated by a state bank regulator;
- A department or agency of the United States or any state or political subdivision of any state;
- An entity established under the laws of the United States, of any state, or between two states, that exercises governmental authority on behalf of the United States or any such state or political subdivision; and
- Any entity, other than a bank whose common stock is listed on the NYSE or whose common stock has been designated as a NASDAQ National Market Security provided that, for purposes of this exemption an entity that is a financial institution, other than a bank, is exempt only to the extent of its domestic operations.

Non-exempt accounts are subject to additional documentation requirements.