



# NEW ACCOUNT FORM

Institutional DVP Accounts Only

Account #:

Rep ID:

## 1. ACCOUNT INFORMATION

Account Name		Fidessa Acronym	
Street Address			
City	State/ Province	Postal Code	Country
Telephone	Mobile Phone	Facsimile	

## 2. TRADER/ OPERATIONAL CONTACT

Name		
Account Type	Tax ID #	DTC #
Agent ID	Internal Account #	
Institutional ID #	Euroclear #	Clearstream #

## 3. INTERESTED PARTIES

Interested Party #1:

Interested Party #2:

## 4. INSTITUTIONAL ACCOUNTS

Is this an institutional account as defined in FINRA Rule 4512(c)? If so, please select the appropriate classification code below.

N = Account is not an institutional account as defined in FINRA Rule 4512(c).

Q = Account is an institutional account as defined in FINRA Rule 4512(c) and is a Qualified Institutional Buyer ("QIB") as defined in SEC Rule 144A. Additional documentation may be required for QIBs.

Y = Account is an institutional account as defined in FINRA Rule 4512(c), but as of account opening was not a QIB.

## 5. CUSTOMER ACKNOWLEDGEMENT

\*\*\* All confirms will be generated by DTC-ID System \*\*\*

Check here for hard copy confirms.

\_\_\_\_\_  
Signature of Registered Representative

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of Supervisory Principal

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Date