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DVP Due Diligence Form

1. Please attach the account application, making sure to include the liquid net worth, investment objectives, and risk tolerance of the entity.

2. Please provide or attach the beneficial ownership of all entities.

3. Please provide the total assets under management, if applicable. _____

4. What is the accredited investor status of the entity? _____

5. Please provide the description or nature of business. _____

6. Is this account subject to Regulatory filings (13f, ADV, etc.)? Yes No

7. What is the purpose of requesting a DVP type account?

8. Is this account retail or institutional? _____

9. Please provide a description of your firm's onboarding review process specific to DVP account opening

PREPARED BY: _____

PRINCIPAL SIGNATURE: _____

TITLE: _____

DATED: _____